

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐

Declaration  
Submitted  
With Initial  
Filing

OR

☒

Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number

1406/175

First Named Inventor

Mark Hollatz

COMPLETE IF KNOWN

Application Number

10/692,234

Filing Date

October 23, 2003

Art Unit

1765

Examiner Name

Not Assigned

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD FOR THE PLANARIZATION OF A SEMICONDUCTOR STRUCTURE**

(Title of the Invention)

the specification of which

☐

is attached hereto

OR

☒

was filed on (MM/DD/YYYY)

10/23/2003

as United States Application Number or PCT International

Application Number

10/692,234

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
PCT/EP02/05253 10123509.7	WIPO DE	05/13/2002 05/15/2001	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

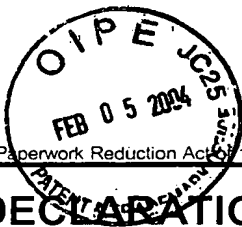
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Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**DECLARATION — Utility or Design Patent Application**

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number: <u>25297</u> OR <input type="checkbox"/> Correspondence address below			
Name			
Address			
City		State	ZIP
Country	Telephone	Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) Mark		Family Name or Surname Hollatz	
Inventor's Signature <i>Mark Hollatz</i>		Date 17.11.2003	
Residence: City Neustadt	State	Country DE	Citizenship DE
Mailing Address Rosa-Luxemburg-Strasse 22			
City Neustadt	State	ZIP 01844	Country DE
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) Klaus-Dieter		Family Name or Surname Morhard	
Inventor's Signature		Date	
Residence: City Dresden	State	Country DE	Citizenship DE
Mailing Address Bischoffswerdaer-Strasse 7			
City Dresden	State	ZIP 01109	Country DE
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the <u>1</u> supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.			

**DECLARATION****ADDITIONAL INVENTOR(S)**  
Supplemental SheetPage 1 of 1


<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Alexander		Trüby	
Inventor's Signature 		Date <u>17.11.03</u>	
Residence: City Dresden	State	Country DE	Citizenship DE
Mailing Address Selliner Strasse 17			
Mailing Address			
City Dresden	State	Zip 01109	Country DE
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Dirk		Többen	
Inventor's Signature			
Residence: City Munchen	State	Country DE	Citizenship DE
Mailing Address Kranichweg 30b			
Mailing Address			
City Munchen	State	Zip 81827	Country DE
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
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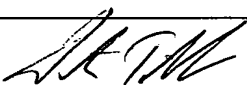

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Name			
Address			
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Country		ZIP	
Telephone		Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
<b>NAME OF SOLE OR FIRST INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) <div style="text-align: center;">Mark</div>		Family Name or Surname <div style="text-align: center;">Hollatz</div>	
Inventor's Signature			Date
Residence: City <div style="text-align: center;">Neustadt</div>	State	Country <div style="text-align: center;">DE</div>	Citizenship <div style="text-align: center;">DE</div>
Mailing Address Rosa-Luxemburg-Strasse 22			
City <div style="text-align: center;">Neustadt</div>	State	ZIP <div style="text-align: center;">01844</div>	Country <div style="text-align: center;">DE</div>
<b>NAME OF SECOND INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) <div style="text-align: center;">Klaus-Dieter</div>		Family Name or Surname <div style="text-align: center;">Morhard</div>	
Inventor's Signature <div style="text-align: center;"></div>			Date <div style="text-align: center;">20 Nov 03</div>
Residence: City <div style="text-align: center;">Dresden</div>	State	Country <div style="text-align: center;">DE</div>	Citizenship <div style="text-align: center;">DE</div>
Mailing Address Bischoffswerdaer-Strasse 7			
City <div style="text-align: center;">Dresden</div>	State	ZIP <div style="text-align: center;">01109</div>	Country <div style="text-align: center;">DE</div>
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the <u>1</u> supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.			

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Alexander		Trüby	
Inventor's Signature		Date	
Residence: City Dresden	State	Country DE	Citizenship DE
Mailing Address Selliner Strasse 17			
Mailing Address			
City Dresden	State	Zip 01109	Country DE
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Dirk		Többen	
Inventor's Signature 		Date Nov. 19, 2003 	
Residence: City Munchen	State	Country DE	Citizenship DE
Mailing Address Kranichweg 30b			
Mailing Address			
City Munchen	State	Zip 81827	Country DE
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
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Inventor's Signature		Date	
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